Docket No.

248316US3X/ims

PATENT AND TRADEMARK OFFICE

Seigo ARAI, et al. IN RE APPLICATION OF:

10/768,099 SERIAL NO:

GAU:

3618

FILED:

February 2, 2004

EXAMINER:

FOR:

CONSTRUCTION MACHINE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

REFERENCES

The applicant(s) wish to make of record the references cited in the attached European Search Report listed on the
attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of
relevancy or any readily available English translations of pertinent portions of any non-English language
references.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present
application. In accordance with discussions on August 4, 2004 with Mr. Nicholas P. Godici, Commissioner
for Patents, it is no longer required to submit copies of cited pending applications. A modification of the
Rules will be published soon in the Official Gazette. Cited issued patents, if any, are listed on the attached PTC
form 1449.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

C. Irvin McClelland Registration No. 21,124

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AUG 2 0 2004 ATTY DOCKET NO. SERIAL NO. Form PTO 1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 10/768,099 **APPLICANT** LIST OF REFERENCES CITED BY APPLICANT Seigo ARAI, et al. **FILING DATE GROUP** February 2, 2004 3618 **U.S. PATENT DOCUMENTS EXAMINER** DOCUMENT FILING DATE SUB CLASS DATE NAME INITIAL NUMBER IF APPROPRIATE **CLASS** AΑ 3,788,418 01/29/1974 L. F. CLANCY, et al. AB AC ΑD ΑE ΑF AG AΗ ΑI ΑJ ΑK AL AM ΑN **FOREIGN PATENT DOCUMENTS** DOCUMENT TRANSLATION DATE COUNTRY NUMBER YES NO WO 03/002364 01/09/2003 AO WIPO (with English Abstract) Х ΑP AQ AR AS AT ΑU ΑV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) Paten Abstracts of Japan, JP 2000-120106, April 25, 2000 AW Paten Abstracts of Japan, JP 08-277713, October 22, 1996 AX AY ΑZ Additional References sheet(s) attached Examiner **Date Considered** *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.